

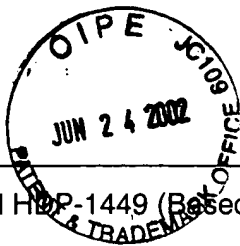
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FORM PDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 4 of 6	ATTORNEY DOCKET No.	SERIAL No.
	4858-000357	10/092,311
	APPLICANT	
	Barker J.	
	FILING DATE	GROUP
3/6/02	1745	

FOREIGN PATENT DOCUMENTS								
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No	
1.	AS	EP 1 049 182 A2	11-2-00	Europe	H01M-4/58	X		
2.	AS	JP 11025983	1-29-99	Japan		X		
3.	AS	DE 40 244 09 A1	2-08-92	Germany	C01G-51/00	X		
4.	AS	JP 2001110455	4-20-2001	Japan		Abstract		
5.	AS	JP 9134724	5-20-1997	Japan		X		
6.	AS	JP2001-85010	3-30-2001	Japan		X		
7.	AS	CA 2096386	11-19-1993	Canada				
8.	AS	WO 2000/60680	10-12-00	Japan	H01M-4/58	Abstract		
9.	AS	WO 97/40541	10-30-97	WIPO	H01M-4/58			
10.	AS	JP 09134725	5-20-97	Japan	H01M-4/58	X		
11.	AS	JP 09171827	6-30-97	Japan	H01M-4/58	X		
12.	AS	JP 08171938	7-02-96	Japan	H01M-10/40	X		
13.	AS	CA 2,200,998	9-25-98	Canada	H01M-4/24	X		
14.	AS	JP 2001-11-0414	4/20/01	Japan	H01M-4/58	X		
15.	AS	JP2000173654	6/23/00	Japan	H01M-10/40	Abstract		
16.	AS	WO 01/13443 A2	2/22/01	WIPO	H01M 4/00			
17.	AS	WO 01/54212 A1	7/26/01	WIPO	H01M 4/48			

Examiner: <i>Suey Tsang Foster</i>	Date Considered: <i>10/16/02</i>
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FORM HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION

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Sheet 5 of 6

ATTORNEY DOCKET NO.

4858-000357

SERIAL NO.

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FOREIGN PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation	
						Yes	No
18.	AS	WO 00/57505	9/28/00	WIPO	H01M 4/58	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
19.	AS	WO 00/31812	6/2/00	WIPO	H01M 4/58	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
20.	AS	WO 01/84655 A1	11/8/01	WIPO	H01M 4/58	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
21.	AS	WO 01/53198 A1	7/26/01	WIPO		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Desig.	Examiner's Initials	
1.	AS	Boutinaud, P., et al., The solid solution $\text{BaLi}_{1-x}\text{Cu}_x\text{PO}_4$ ($x < 0.5$): an example of Cu+single-ion luminescence in oxide insulators, (1996) J. Mater. Chem., 1996 6(3), 381-384 (no month)
2.	AS	Goni, et al., ^7Li and ^{31}P nuclear magnetic resonance studies of $\text{Li}_{1-3x}\text{MgFexPO}_4$, (1998), Journal of Applied Physics, Vol. 84 No. 1 (no month)
3.	AS	Padhi, A.K, et al., Phospho-Olivines as positive-electrode materials for rechargeable lithium batteries, (1997) J. Electrochem. Soc., 144(4), 1188-1194. (no month)
4.	AS	Andersson, et al., Lithium extraction/insertion in LiFePO_4 : an x-ray diffraction and Mossbauer spectroscopy study, (2000), Solid State Ionics, 130 (1,2), 41-52 (no month)
5.	AS	Vaknin, et al., Weakly ($x=0$) and randomly ($x=0.033$) coupled Ising antiferromagnetic planes in $(\text{Li}_{1-3x}\text{Fex})\text{NiPO}_4$ compounds, (1999) Phys. Rev. B: Condens. Matter. Mater. Phys. 60(2), 1100-1110 (no month)
6.	AS	Butt, G., et al., Lithium metal phosphate cathodes for Li Secondary batteries, (1998), J. Australas. Ceram. Soc., 34(1), pp. 60-65 (no month)
7.	AS	Okada, S., et al., Cathodes Properties of Phospho-olivine for Lithium Secondary Batteries, The Reports of Institute of Advanced Material Study, Kyushu University, Vol. 14, No.2 (2000), pp. 133-137 (no month)

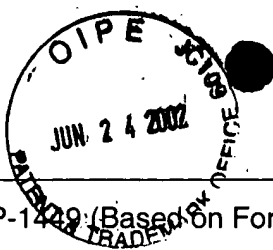
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FORM HDP-1449 (Based on Form PTO-1449)

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Sheet 6 of 6

ATTORNEY DOCKET No.

4858-000357

SERIAL No.

10/092,317

APPLICANT

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3/6/02

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1745

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Desig.	Examiner's Initials	
8.	<i>JS</i>	A. Yamada, S.C. Chung and K. Hinokuma, Optimized LiFeP04 for Lithium Battery Cathodes, Journal of the Electrochemical Society, 148 (3) A224-A229 (2001). <i>(no month)</i>
9.	<i>JS</i>	Thomas B. Reddy and Sohrab Hossain, Rechargeable Lithium Batteries (Ambient Temperature), Handbook of Batteries, 3 rd Edition, Chapter 34, (2002). <i>(no month)</i>

Examiner:

Surya Singh

Date Considered:

10/16/03

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Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. VT-2418	SERIAL NO. 10/092,317
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Barker et al.	
Sheet 1 of 1		FILING DATE 3/6/2002	GROUP 1745

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	REF. NO.	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	LOCATION WHERE RELEVANT PASSAGES OR FIGURES APPEAR
<i>JS</i>	1	US 2001/0055718 A1	12/27/2001	Li et al.	
<i>JS</i>	2	US 2002/0124386 A1	9/12/2002	Hosoya et al.	
<i>JS</i>	3	US 2002/0195591 A1	12/26/2002	Ravet et al.	
<i>JS</i>	4	US 2002/0150816 A1	10/17/2002	Sakai et al.	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	REF. NO.	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	LOCATION WHERE RELEVANT PASSAGES OR FIGURES APPEAR	T
<i>JS</i>	5	EP 1 094 532 A1	4/25/2001	Li et al.		
<i>JS</i>	6	EP 1 150 367 A2	10/31/2001	Li et al.		
<i>JS</i>	7	JP 2001-307726 A1	2/11/2001	Sadahiro et al.		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIALS	REF. NO.		
<i>JS</i>	8	International Search Report for PCT/US03/06998 <i>dated July 17, 2003.</i>	
EXAMINER <i>Amey Isang Foster</i>		DATE CONSIDERED <i>10/16/03</i>	
<p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; conformance and not considered. Include copy of this form with next communication to applicant.</p>			



#2

FORM HD-101 (Based on Form PTO-1449)

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Sheet 1 of 6

ATTORNEY DOCKET No.

4858-000357

SERIAL No.

10/092,311

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Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.	<i>AB</i>	4,009,092	2/22/77	Taylor		
2.	<i>AB</i>	4,042,482	8/16/77	Shannon et al.		
3.	<i>AB</i>	4,049,891	9/20/77	Hong et al.		
4.	<i>AB</i>	4,166,159	8/28/79	Pober		
5.	<i>AB</i>	4,194,062	3/18/80	Carides et al.		
6.	<i>AB</i>	4,322,485	3/30/82	Harrison et al.		
7.	<i>AB</i>	4,394,280	7/19/83	vol Alpen et al.		
8.	<i>AB</i>	4,464,447	8/7/84	Lazzari et al.		
9.	<i>AB</i>	4,465,744	8/14/84	Susman et al.		
10.	<i>AB</i>	4,477,541	10/16/84	Fraioli		
11.	<i>AB</i>	4,512,905	4/23/85	Clearfield et al.		
12.	<i>AB</i>	4,668,595	5/26/87	Yoshino et al.		
13.	<i>AB</i>	4,792,504	12/20/88	Schwab et al.		
14.	<i>AB</i>	4,830,939	5/16/89	Lee et al.		
15.	<i>AB</i>	4,925,752	5/15/90	Fauteux et al.		
16.	<i>AB</i>	4,935,317	6/19/90	Fauteux et al.		
17.	<i>AB</i>	4,990,413	2/5/91	Lee et al.		
18.	<i>AB</i>	5,011,501	4/30/91	Shackle et al.		
19.	<i>AB</i>	5,028,500	7/2/91	Fong et al.		
20.	<i>AB</i>	5,037,712	8/6/91	Shackle et al.		
21.	<i>AB</i>	5,130,211	7/14/92	Wilkinson et al.		

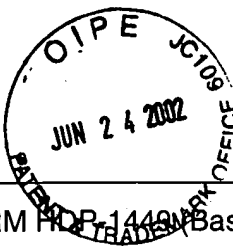
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Sunny Chang Foster

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FORM PTO-1449 (Based on Form PTO-1449)

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Sheet 2 of 6

ATTORNEY DOCKET NO.

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U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
22.	<i>AB</i>	5,262,253	11/16/93	Golovin		
23.	<i>AB</i>	5,300,373	4/5/94	Shackle		
24.	<i>AB</i>	5,326,653	7/5/94	Chang		
25.	<i>AB</i>	5,399,447	3/21/95	Chaloner-Gill et al.		
26.	<i>AB</i>	5,411,820	5/2/95	Chaloner-Gill		
27.	<i>AB</i>	5,418,090	5/23/95	Koksbang et al.		
28.	<i>AB</i>	5,418,091	5/23/95	Gozdz et al.		
29.	<i>AB</i>	5,435,054	7/25/95	Tonder et al.		
30.	<i>AB</i>	5,456,000	10/10/95	Gozdz et al.		
31.	<i>AB</i>	5,460,904	10/24/95	Gozdz et al.		
32.	<i>AB</i>	5,463,179	10/31/95	Chaloner-Gill et al.		
33.	<i>AB</i>	5,482,795	1/9/96	Chaloner-Gill		
34.	<i>AB</i>	5,508,130	4/16/96	Golovin		
35.	<i>AB</i>	5,514,490	5/7/96	Chen et al.		
36.	<i>AB</i>	5,538,814	7/23/96	Kamauchi et al.		
37.	<i>AB</i>	5,540,741	7/30/96	Gozdz et al.		
38.	<i>AB</i>	5,541,020	7/30/96	Golovin et al.		
39.	<i>AB</i>	5,620,810	4/15/97	Golovin et al.		
40.	<i>AB</i>	5,643,695	7/1/97	Barker et al.		
41.	<i>AB</i>	5,660,948	8/26/97	Barker		

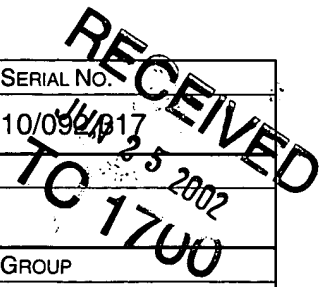
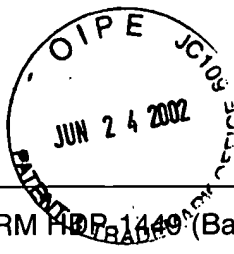
Examiner:

Amy Mary Foster

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FORM PTO-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 3 of 6	ATTORNEY DOCKET No.	SERIAL NO.
	4858-000357	10/09/97
	APPLICANT	
	Barker J.	
	FILING DATE	GROUP
3/6/02	1745	

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
42.	<i>AS</i>	5,695,893	12/9/97	Arai et al.		
43.	<i>AS</i>	5,700,298	12/23/97	Shi et al.		
44.	<i>AS</i>	5,702,995	12/30/97	Fu		
45.	<i>AS</i>	5,712,059	1/27/98	Barker et al.		
46.	<i>AS</i>	5,804,335	9/8/98	Kamauchi et al.		
47.	<i>AS</i>	5,830,602	11/3/98	Barker et al.		
48.	<i>AS</i>	5,851,504	12/22/98	Barker et al.		
49.	<i>AS</i>	5,869,207	2/9/99	Saidi et al.		
50.	<i>AS</i>	5,871,866	2/16/99	Barker et al.		
51.	<i>AS</i>	5,910,382	6/8/99	Goodenough et al.		
52.	<i>AS</i>	6,020,087	2/1/00	Gao		
53.	<i>AS</i>	6,085,015	7/04/00	Armand, et al.		
54.	<i>AS</i>	6,103,419	8/15/00	Saidi et al.		
55.	<i>AS</i>	6,136,472	10/24/00	Barker et al.		
56.	<i>AS</i>	6,153,333	11/28/00	Barker		
57.	<i>AS</i>	6,306,215	10/23/01	Larkin		

Examiner: <i>Amy Lucy Foster</i>	Date Considered: <i>10/16/03</i>
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